## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Robert C. Wong

Docket: END920030072US1 (16925)

Serial No.: Unassigned Dated: November 26, 2003

Filed: Herewith

For: DISCRIMINATIVE SOI WITH OXIDE

HOLES UNDERNEATH DC SOURCE / DRAIN

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, applicants submit the following references which applicants believe may be material to the above-identified patent application. A copy of the references which applicants wish to make of record in this case is enclosed herein for the Examiner's convenience along with a listing on Form PTO-1449 attached.

- 1. U.S. Patent No. 6,531,375 dated March 11, 2003 to Giewont, et al.;
- 2. U.S. Patent No. 6,333,532 dated December 25, 2001 to Davari, et al.;

## **CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

"Express Mail" Mailing Label Number: EU133644757US

Date of Deposit: 1/08/04

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Dated: 1-8 △ 4

- 3. U.S. Patent No. 6,214,653 dated April 10, 2001 to Chen, et al.;
- 4. U.S. Patent No. 4,810,664 dated March 7, 1989 to Kamins, et al.; and
- 5. International Application No. WO 97/27628 published July 31, 1997.

All the references listed on Form PTO-1449 are in the English language, thus, a concise explanation of those references required by 37 C.F.R. §1.98(a)(3) is not necessary.

This Information Disclosure Statement is being submitted with the filing of this application; thus no fee or certification under 37 C.F.R. §1.97(e) is required.

Respectfully submitted,

Keslie S. Szivos

Registration No. 39,394

SCULLY, SCOTT, MURPHY & PRESSER 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

LSS:gc

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional) END920030072US1 (16925)	Application Number Unassigned		
Applicant(s) Robert C. Wong			
Filing Date	Group Art Unit		

			Herewith		Unassigned			
				U.S. PATENT DOCUMENTS				
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING IF APPRO	
		6,531,375	03/11/03	Giewont, et al			u nu ne	
		6,333,532	12/25/01	Davari, et al				<u></u>
		6,214,653	04/10/01	Chen, et al				· · · · · · · · · · · · · · · · · · ·
		4,810,664	03/07/89	Kamins, et al			. <u></u> .	_
				·				
			1	-				
			· <u>.l</u>	FOREIGN PATENT DOCUMENTS	<u> </u>	<u> </u>		
	REF	DOCUMENT NUMBER	DATE COUNTRY		CLASS	SUBCLASS	Translation	
	-	WO 97/27628	07/31/97	PCT		┼	YES	NO
		WO 97/27028	07/31/97	PCI	· · · · · · · · · · · · · · · · · · ·			
	-							
				OTHER DOCUMENTS (Including	ig Author, Title, D	ate, Pertinent Pa	ges, Etc.)	
		31						
EXAMINER			DATE CONSIDERED	DATE CONSIDERED				
EXAMINE not conside	R: Initi red. In	al if citation considered, whethe clude copy of this form with nex	r or not citation is t communication t	in conformance with MPEP Section 609 o applicant.	; Draw line throu	gh citation if not	in conform	ance and

F rm PTO-A820 (als f rm PTO-1449)